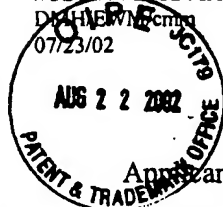


07/23/02

Dep't Ref R-307#
PATENT APPLICATION
Docket No.: 1440.1069-013

41 Reg for
Refund

10-29-02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jan E. Schnitzer

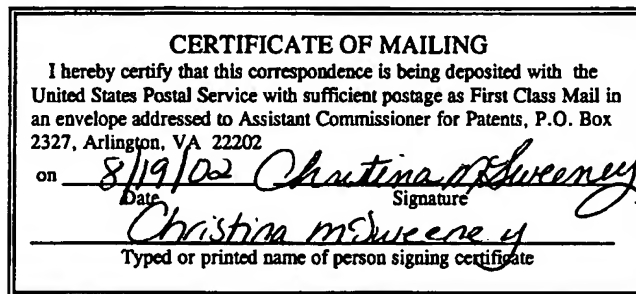
Serial No.: 10/056,230

Group Art Unit: 3763

Filed: January 24, 2002

Examiner:

For: TARGETING ENDOTHELIUM FOR TISSUE-SPECIFIC DELIVERY OF AGENTS



TRANSMITTAL OF SMALL ENTITY STATEMENTS
AND REQUEST FOR REIMBURSEMENT

Assistant Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

Sir:

Please file the enclosed two Small Entity Statements in the above-identified patent application.

Applicant's Attorney respectfully requests a reimbursement of \$635, one-half of the total fees paid on July 22, 2002, to be deposited in Deposit Account No. 08-0380. This request is made within the three-month period allowed for such reimbursement.

A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Doreen M. Hogue for Reg No 36, 341
Elizabeth W. Mata
Registration No. 38,236
Telephone: (978) 341-0036
Facsimile: (978) 341-0136

Concord, MA 01742-9133
Dated: August 19, 2002

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.27(a))-NONPROFIT ORGANIZATION



DOCKET NUMBER: 1440.1069-013

Applicant or Patentee: Jan E. Schnitzer

Application or Patent No.: 10/056,230

Filed or Issued: January 24, 2002

Title: TARGETING ENDOTHELIUM FOR TISSUE-SPECIFIC DELIVERY OF AGENTS

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION Beth Israel Deaconess Medical Center, Inc.

ADDRESS OF NONPROFIT ORGANIZATION 330 Brookline Avenue

Boston, Massachusetts 02215

TYPE OF NONPROFIT ORGANIZATION:

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☒ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF
LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED
STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.27(a)(3) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

I hereby state that the rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1) or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).

Each person, concern, or organization having any rights in the invention is listed below:

- ☐ no such person, concern, or organization exists.
☒ each such person, concern, or organization is listed below.

Sidney Kimmel Cancer Center
10835 Altman Row
San Diego, California 92121

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON SIGNING Mark Chalek

TITLE IN ORGANIZATION OF PERSON SIGNING Chief, Business Ventures

ADDRESS OF PERSON SIGNING 330 Brookline Avenue, Boston, Massachusetts 02215

SIGNATURE

Mark Chalek

DATE

7/11/02

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.27(a)) - NONPROFIT ORGANIZATION

DOCKET NUMBER: 1440.1049-019

Applicant or Patentee: JAN E. SchnitzerApplication or Patent No.: 10/036,230Filed or Issued: January 24, 2002Title: TARGETING ENDOTHELIUM FOR TISSUE-SPECIFIC DELIVERY OF AGENTS

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION Sidney Kimmel Cancer CenterADDRESS OF NONPROFIT ORGANIZATION 10835 Altman Row
San Diego, California 92121

TYPE OF NONPROFIT ORGANIZATION:

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- ☒ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))
- ☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)
- ☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF
LOCATED IN THE UNITED STATES OF AMERICA
- ☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED
STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

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- ☐ the specification filed herewith with title as listed above.
- ☒ the application identified above.
- ☐ the patent identified above.

I hereby state that the rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1) or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).

Each person, concern, or organization having any rights in the invention is listed below:

- ☐ no such person, concern, or organization exists.
- ☒ each such person, concern, or organization is listed below

Both Israel Deaconess Medical Center, Inc.
330 Brookline Avenue
Boston, Massachusetts 02215

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON SIGNING Cary B. ThomasTITLE IN ORGANIZATION OF PERSON SIGNING Chief Licensing OfficerADDRESS OF PERSON SIGNING 10835 Altman Row, San Diego, California 92121SIGNATURE Cary B. Thomas DATE 7/22/02